

01-17-01

PATENT



UTILITY PATENT APPLICATION TRANSMITTAL

(only for new nonprovisional applications under 37 CFR §1.53(b))

Assistant Commissioner of Patents
 Box Patent Application
 Washington, D.C. 20231
 Sir:

Transmitted herewith for filing is the patent application
 under 37 CFR 1.53(b) of:

AVENTIS DOCKET NO.: HMR2041 US NP1

INVENTORS: Kurt M. Kesseler

TITLE: Ethanol Solvate of (-)-cis-2-(2-chlorophenyl)-5,7-dihydroxy-8[4R-(3S-hydroxy-1-methyl)piperidinyl]-4H-1-benzopyran-4-one

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231, on

January 16, 2001

Date of deposit

PTO

Signature

EL685154085US

Express Mail No.

U.S.

09/16/01



APPLICATION ELEMENTS

- | | | | |
|--|--|--------------|--|
| 1. <input checked="" type="checkbox"/> | *Fee Transmittal Form (e.g., PTO/SB/17)
<i>(Submit an original and a duplicate for fee processing)</i> | Total Pages | 12 |
| 2. <input checked="" type="checkbox"/> | Specification
<i>(preferred arrangement set forth below)</i> | Total Pages | 12 |
| 3. <input type="checkbox"/> | Drawing(s) (35 U.S.C. 113) | Total Sheets | 1 |
| 4. <input type="checkbox"/> | Oath or Declaration | Total Pages | 1 |
| a. <input checked="" type="checkbox"/> | Unexecuted (original or copy) | | |
| b. <input type="checkbox"/> | Copy from a prior application (37 CFR § 1.63(d))
<i>(for continuation/divisional with Box 16 completed)</i> | | |
| i. <input type="checkbox"/> | <u>DELETION OF INVENTOR(S)</u>
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR §§ 1.63(d)(2) and 1.33(b). | | |
| 5. <input type="checkbox"/> | Microfiche Computer Program (Appendix) | | |
| 6. <input type="checkbox"/> | Nucleotide and/or Amino Acid Sequence Submission
<i>(if applicable, all necessary)</i> | | |
| a. <input type="checkbox"/> | Computer Readable Copy | | |
| b. <input type="checkbox"/> | Paper Copy (identical to computer copy) | | |
| c. <input type="checkbox"/> | Statement verifying identity of above copies | | |

- If a **CONTINUING** Application, check appropriate box, and supply the requisite information:

Continuation Divisional Continuation-in-part
of prior application no: _____, filed _____.
Examiner _____, Group/Art Unit _____.
(The cross reference has been/will be inserted on page one of the specification).

This application claims the benefit of U.S. Provisional Application No. Not Yet Assigned,
filed Jan. 18, 2000. (The cross reference has been/will be inserted on page one of the specification).

Incorporation By Reference (useable if filing a continuation/divisional and a copy of the declaration from the prior application is enclosed.)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

ACCOMPANYING APPLICATION PARTS

7. Assignment Papers (*cover sheet & document(s)*)

8. 37 CFR §3.73(b) Statement Power of Attorney
(when there is an assignee)

9. English Translation Document (*if applicable*)

10. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations

11. Preliminary Amendment

12. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)

13. *Small Entity Statement(s) Statement filed in prior application,
(PTO/SB/09-12) Status still proper and desired

14. Certified Copy of Priority Document(s)
(if foreign priority is claimed)

15. Other: Bibliography Data Sheet

CORRESPONDENCE ADDRESS

- Customer Number or Bar Code Label

005487

(Insert Customer No. or Attach bar code label here)

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Aventis Docket No. HMB204

Aventis Docket No. TMR2041 US NF

Under the Paperwork Reduction Act of 1996, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.

**Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.
See 37 C.F.R. §§ 1.27 and 1.28.**

TOTAL AMOUNT OF PAYMENT (\$ 1300.00)

Complete if Known

Application Number	Not Yet Assigned
Filing Date	Concurrently Herewith
First Named Inventor	Kurt M. Kesseler
Examiner Name	
Group / Art Unit	
Attorney Docket No.	HMR2041 NP1

METHOD OF PAYMENT (check one)				FEE CALCULATION (continued)				
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number 18-1982				3. ADDITIONAL FEES				
Deposit Account Name <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17				Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	
				Fee Description	Fee Paid			
				105	130	205	65	Surcharge - late filing fee or oath Surcharge - late provisional filing fee or cover sheet
				127	50	227	25	
				139	130	139	130	Non-English Specification
				147	2,520	147	2,520	For filing a request for reexamination
				112	920*	112	920*	Requesting publication of SIR prior to Examiner action
				113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action
				115	110	215	55	Extension for reply within first month
				116	380	216	190	Extension for reply within second month
				117	870	217	435	Extension for reply within third month
				118	1,360	218	680	Extension for reply within fourth month
				128	1,850	228	925	Extension for reply within fifth month
				119	300	219	150	Notice of Appeal
				120	300	220	150	Filing a brief in support of an appeal
				121	260	221	130	Request for oral hearing
				138	1,510	138	1,510	Petition to institute a public use proceeding
				140	110	240	55	Petition to revive - unavoidable
				141	1,210	241	605	Petition to revive - unintentional
				142	1,210	242	605	Utility issue fee (or reissue)
				143	430	243	215	Design issue fee
				144	580	244	290	Plant issue fee
				122	130	122	130	Petitions to the Commissioner
				123	50	123	50	Petitions related to provisional applications
				126	240	126	240	Submission of Information Disclosure Stmt
				581	40	581	40	Recording each patent assignment per property (times number of properties)
				146	690	246	345	Filing a submission after final rejection (37 CFR § 1.129(a))
				149	690	249	345	For each additional invention to be examined (37 CFR § 1.129(b))
				Other fee (specify)				
				Other fee (specify)				
				*Reduced by Basic Filing Fee Paid			SUBTOTAL (3) 0	
SUBTOTAL (1) (\$710.00)								
SUBTOTAL (2) (\$590.00)								

SUBMITTED BY			Complete (If applicable)		
Name (Print/Type)	Carolyn D. Moon	Registration No. (Attorney/Agent)	33,022	Telephone	(908) 231-2356
Signature	<i>Carolyn D. Moon</i>		Date	January 16 2001	

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